

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	DMP-659
First Inventor	Donald M. Pohler
Title	Transfer Pump
Express Mail Label No.	EL 987379615 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 35]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 19]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information:

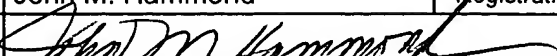
Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 37282 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	John M. Hammond	Registration No. (Attorney/Agent)	52,986
Signature		Date	04/15/2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 628

**Complete if Known**

Application Number	Herewith
Filing Date	04/15/2004
First Named Inventor	Donald M. Pohler
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	DMP-659

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

50-2753

Howard J. Greenwald P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ ) 385

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims 47 -20\*\* = 27 X 9 = 243  
 Independent Claims      -3\*\* =      X      =       
 Multiple Dependent      =     

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ ) 628

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	John M. Hammond	Registration No. (Attorney/Agent)	52,986	Telephone	585-387-0285
Signature	<i>John M. Hammond</i>	Date	04/15/2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**CERTIFICATE OF  
EXPRESS MAILING**

**UTILITY PATENT  
APPLICATION**

Application Number	Herewith
Filing Date	04/15/2004
First Inventor	Donald M. Pohler
Examiner Name	Unknown
Art Unit	Unknown
Docket Number	DMP-659
Express Mail Label	EL 987379615 US

DATE OF DEPOSIT: April 15, 2004

EL 987379615 US

**TITLE OF CASE:**

Transfer Pump

The following documents are enclosed:

Certificate of Express Mailing (1 page)

Utility Cover Sheet (1 page)

Fee Transmittal Form (1 page)

Declaration (2 pages)

Specification (35 pages)

Drawings (19 pages)

Return Postcard

Credit Card Form (1 page)

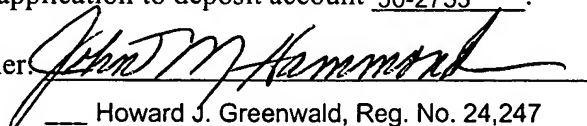
The above named documents are being deposited with the United States Postal Service, using Express Mail Post Office to Addressee service, on the date indicated above, and is addressed to:

TO:  
Mail Stop: Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450.

FROM:  
Howard J. Greenwald P.C.  
349 W. Commercial Street, Suite 2490  
East Rochester, NY 14445  
Telephone (585) 387-0285  
Fax (585) 387-0288

The Director is authorized to charge any additional fee(s) as needed during the pendency of this application to deposit account 50-2753.

Signature of Mailer



Howard J. Greenwald, Reg. No. 24,247  
☒ John M. Hammond, Reg. No. 52,986  
☐ Peter J. Mikesell, Reg. No. 54,311

**DO NOT MAIL THIS SHEET TO THE PTO**

Date: **April 15, 2004**

Utility

Application Number	Herewith
Filing Date	04/15/2004
First Inventor	Donald M. Pohler
Examiner Name	Unknown
Art Unit	Unknown
Docket Number	DMP-659
Express Mail Label	EL 987379615 US

Ensure the following tasks have been completed:

\_\_\_\_\_ Ensure a "Greenwald Style" old docket number has been entered. Update database information (filing date, inventors, etc.)

\_\_\_\_\_ Submit "Continuation" link, if any, into docketing database.

\_\_\_\_\_ Docket "Application Filed" - date of filing

\_\_\_\_\_ Docket "Has return postcard arrived?" - 2 months from filing

\_\_\_\_\_ Docket "Deadline to file an IDS" - 3 months from filing - Begin gathering the documents for the IDS.

\_\_\_\_\_ Docket "If necessary, file assignment" - 6 months from filing

\_\_\_\_\_ Docket "Warn client of foreign filing deadline" - 10 months from filing

\_\_\_\_\_ Docket "Foreign Filing Deadline" - 12 months from filing

\_\_\_\_\_ Scan/convert all paperwork (formal papers, drawings, and specification) and place in case history named with the date leader, followed by "application filing papers, spec and drawings as filed". Link to the "Application filed" task.

\_\_\_\_\_ Place the specification, in editable format (Word, RTF, etc.) in the case history, with link to the "Application filed" task.

\_\_\_\_\_ Place the drawings, if available in editable format (PPT, DWG, etc.) in the case history, with link to the "Application filed" task.

\_\_\_\_\_ Mark the Word file and drawing file as READ ONLY

\_\_\_\_\_ Ensure the client's business AND home address have been entered in GB Contacts

\_\_\_\_\_ File the application at the U.S. Post Office. Obtain a date stamped copy of the Express Mail mailing receipt and the cash register receipt. Scan in grayscale, and append these documents to the "application filing papers" document above

\_\_\_\_\_ If the declaration was unsigned, begin the process of obtaining the signatures.

\_\_\_\_\_ Payment by deposit account or credit card.

\_\_\_\_\_ Give a copy of the Fee Transmittal form to the accountant

\_\_\_\_\_ If this is a flat fee client, transfer all funds to checking account